

DB PWM GLOBAL KYC/NCA: **PART A** KYC Case # : **01790820**Status : **6. Approved****One sheet must be established per relationship - list all accounts included in the relationship**

1. Relationship Details			
Relationship Name:	SOUTHERN FINANCIAL RELATIONSHIP:00000483290	Booking Center:	New York
Relationship Manager:	Stewart Oldfield		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship		<input checked="" type="checkbox"/> Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: ***This KYC is a periodic review for high risk remediation*** Original KYC1748087 opening new checking account with account title as Zorro Management LLC(House Acct) and adding 2 new signors: [REDACTED].		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral	<input type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input type="checkbox"/> Other Source (CIB, etc.) Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under Zorro Management LLC and add two new signors to this new acct only.		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	Zorro Management, LLC - Deposit-42966807	12/20/2016
2	<input checked="" type="checkbox"/>	Zorro Management, LLC (House Acct)-42967439	3/13/2017
3	<input checked="" type="checkbox"/>	LSJE, LLC - Deposit-42959295	2/25/2015
4	<input checked="" type="checkbox"/>	Mort, Inc - Deposit-42959017	2/6/2015
5	<input checked="" type="checkbox"/>	Jeepers Inc- Brokerage-N4G024935	9/23/2013
6	<input checked="" type="checkbox"/>	Hyperion Air, LLC - Deposit-42953432	10/18/2013
7	<input checked="" type="checkbox"/>	Plan D LLC - Deposits-42953467	10/18/2013
8	<input checked="" type="checkbox"/>	JEJE LLC - Deposits-42953475	10/18/2013
9	<input checked="" type="checkbox"/>	JEJE Inc - Deposits-42953459	10/18/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Darren Indyke		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED]

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CONFIDENTIALDB-SDNY-0071489
SDNY_GM_00217673

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