
From: Chris Harvey [REDACTED]
Sent: 7/8/2019 9:14:27 AM
To: Kimberly Hart [REDACTED]
CC: Stewart Oldfield [REDACTED]
Subject: URGENT!!! Need to close accounts ASAP - please prioritize today
Attachments: image001.gif

Classification: For internal use only

Kim,

Dawn is on her MTA. I've closed all the Pershing accounts today.

Thank you,

Chris Harvey
Vice President
Operations
Deutsche Bank Securities Inc.
[REDACTED]

From: Kimberly Hart <[REDACTED]>
Date: July 8, 2019 at 7:33:19 AM EDT
To: Stewart Oldfield [REDACTED]
Cc: Andrew Gallivan [REDACTED], Daphne Cales <[REDACTED]>, Dawn Forbes <[REDACTED]>
Subject: URGENT!!! Need to close accounts ASAP - please prioritize today

Classification: For internal use only

The following need to be completed today:

1. \$0 balance deposit accounts that are still open. Please coordinate with Daphne Cales to get these closed out on the system.

42953707 - DARREN K. INDYKE PLLC

44133251 - GRATITUDE AMERICA, LTD

42959324 - GRATITUDE AMERICA, LTD

42953715 - HBRK ASSOCIATES, INC

42953432 - HYPERION AIR, LLC

42957978 - JSC INTERIORS LLC

[REDACTED] - [REDACTED] AND

42959295 - LSJE, LLC

42959017 - MORT, INC

42953424 - NEPTUNE, LLC

42953758 - NES, LLC

42953467 - PLAN D, LLC