

Once the above item is received, we will submit this case to the AML team for approval, thanks.

Regards,

<image001.gif>

**Vijay Sawant**

KYC Case Representative

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**From:** Vijay-A Sawant

**Sent:** Wednesday, August 01, 2018 5:37 PM

**To:** Bradley Gillin <[bradley.gillin@db.com](mailto:bradley.gillin@db.com)>; Stewart Oldfield <[stewart.oldfield@db.com](mailto:stewart.oldfield@db.com)>

**Cc:** Mayur Rathod <[mayur.rathod@db.com](mailto:mayur.rathod@db.com)>

**Subject:** 2018 Periodic Review of accts Mort, Inc (GCIS#487266) and Gratitude America Ltd (GCIS#499760) under the SOUTHERN FINANCIAL RELATIONSHIP

**Importance:** High

Hello Brad/Stewart,

This review relates to review of above high-risked accounts due for remediation 8/31/2018.

Upon review of the docs available from repositories, we have completed attached checklists, identifying RP's below. List of pending items listed below –

**Acct Holder – Mort, Inc**

Director, Sole Shareholder & Authorized Signatory – **Jeffrey Epstein**

Director, Vice President & Authorized Signatory – **Darren Indyke**

Director & Treasurer – **Richard Kahn**

- Please confirm material changes to this account and whether RP's identified above are correct or not?
- We were unable to locate COI for this entity. Additionally we were unable to extract SoS from the US Virgin Islands registry website. Alternatively, kindly provide COGS for this entity, dated within the last 6 months.

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**Acct Holder – Gratitude America Ltd**

President & Authorized Signatory – **Jeffrey Epstein**

Director & Authorized Signatory – **Richard Kahn**

Director, Treasurer & Authorized Signatory – **Darren Indyke**

- Please confirm material changes to this account and whether RP's identified above are correct or not? Please note that in some docs, Richard Kahn reflects in Self Certification form (signed in 2016) and Form 990 (also from 2016), both attached for reference. However Richard does not appear in the DB Non Profit Resolution signed in 2015. I have attached this doc as well for reference. Additionally from