
From: Coley Jellinghaus
Sent: Thursday, October 11, 2018 10:35 AM
To: Kimberly Hart <[REDACTED]>
Cc: zia Memon <[REDACTED]>; Diana Canter <[REDACTED]>; Funda Bozkurt <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>
Subject: RE: Butterfly brokerage [C] [I]

Classification: **For internal use only**

Ok that's what I thought. This would not be a 'ring fence' opportunity to pursue. I'll assign the new account to someone on my team. Thanks

From: Kimberly Hart
Sent: Wednesday, October 10, 2018 7:59 PM
To: Coley Jellinghaus <[REDACTED]>
Cc: zia Memon <[REDACTED]>; Diana Canter <[REDACTED]>; Funda Bozkurt <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>
Subject: Re: Butterfly brokerage [C] [I]

KCP should only be servicing KCP eligible clients - with the exception of a few clients belonging to Josh Shoshan which have been ring-fenced with special controls (including a quarterly attestation). If this account is another exception, someone will need to detail how it will be handled and what special controls will be put in place.

Kimberly Hart
Managing Director | Divisional Control Officer
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On Oct 10, 2018, at 11:19 AM, Coley Jellinghaus <coley.jellinghaus@db.com> wrote:

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To be clear, I have not asked if we can adopt this account to KCP, I'm forwarding a request from the Banker to have this account covered by KCP as the POA on the account IS a KCP adopted account and is covered by the KCP team. The Banker thinks that for continuity the POA on this account would prefer to have one desk to trade with (KCP). I know that the KCP desk currently covers accounts that are not KCP adopted, hence the request from the Banker to maintain coverage on one desk. If answer is no, I am perfectly fine with it, and will assign ISG coverage to the new account. Thank you