

To: Kimberly Hart <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Subject: RE: Epstein - negative media [1]

Classification: **For internal use only**

Hi Kim,

AML Compliance (Yoonsun) has requested that we receive your approval to move forward on all Southern Financial/Epstein KYC remediation's.

As per your discussions with Stew regarding the latest lawsuit against Jeffrey, please find the attached 'Notice of Dismissal' dated 10/5/18.

As this occurred after your approval on 10/2/17, please confirm again that we are comfortable moving forward.

Thank You,
Rich

Richard Jarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
+ [REDACTED]
[REDACTED]

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From: Kimberly Hart
Sent: Tuesday, October 09, 2018 12:15 PM
To: Stewart Oldfield <stewart.oldfield@db.com>
Cc: Bradley Gillin <bradley.gillin@db.com>
Subject: RE: Epstein - negative media [1]

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Was this returned as an RDC alert – or part of the regular Lexis/Nexis due diligence?

<image001.gif>

Kimberly Hart
Managing Director | Divisional Control Officer
Wealth Management Americas

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