

To: Nathaniel Morgan <[REDACTED]>
Cc: Andrew King <[REDACTED]>; William-M Finn <[REDACTED]>
Subject: RE: SOF IV names [I] [C]

Always happy to help you help me

From: Nathaniel Morgan
Sent: Tuesday, May 01, 2018 6:10 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Andrew King <andrew.king@db.com>; William-M Finn <[REDACTED]>
Subject: RE: SOF IV names [I] [C]

Classification: **Confidential**

Thanks for the time on the phone Stew. We've got all that we need and will get rolling on the AIS requests.

From: Nathaniel Morgan
Sent: Tuesday, May 01, 2018 5:52 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Andrew King <[REDACTED]>; William-M Finn <[REDACTED]>
Subject: RE: SOF IV names [I] [C]

Classification: **Confidential**

Hi Stew,

I've attached the form for the clients in red. Since everyone appears to be in DB force, we don't need the addresses and all that jazz. Just need the standard Suitability/Asset Allocation info, as well as phone #'s and emails if you have them.

Thanks,
Nate

Name	AIS Info on File
Aspen Grove	Yes
Bright	Yes
Dimension	Yes
Dorrance	Yes
Epstein/Southern Financial	Yes
Everwatch	Yes
Forrestal	Yes
Georgetown	Yes
Gerschel	Yes
Highlander	Yes
Illig	Yes
Ironsides	Yes
Nicholas	Yes
Shamrock	Yes
Slome	Yes
Third Lake	Yes