

**From:** Vahe Stepanian [REDACTED]  
**Sent:** 7/12/2017 4:34:03 PM  
**To:** Zbynek Kozelsky [REDACTED]  
**CC:** Stewart Oldfield [REDACTED]  
**Subject:** Ziggy - see below. Can you confirm that Southern Financial can trade listed options? Thank you. [!]

**Classification: For internal use only**

Accounts | Trading | Service & Operations | Reports & Documents | Markets & Research | Tools | Support | Resources | Life

Home | Watch Status | NAG024515 - EPSTEIN | Search | NAG023804 - EPSTEIN

Account: NAG023804

ScoutFIREN FINANCIAL LLC | 029-880-1100/0487-0123 | 07-12-2017 04:34:03

As of 07/11/2017 | Intra Day (Data is delayed) net n

Long Intraday Value	304,492,421.82	0.00	Net Worth	121,701,973.37	Total Available Equity	1.61
Today Fed Call	0.00	0.00	Total Intraday Value	0.00	Total Account Value	121.70

Profile | View: All Notes

ENTERED	NOTES	TYPE	EXPIRE
01/21/2014 16:07:53	DBSI's Full Power of Attorney has been accepted with Darren Injoke as authorized agent for Southern Financial LLC. Authorized agent has been cleared th...	BROKER-DEALER	11/01/2114
11/04/2013 14:52:38	DBSI's Full Power of Attorney has been accepted with Harry J Baker & Jeanne Brannan Wehrbach as authorized agent for Southern Financial LLC. Authorize...	BROKER-DEALER	11/01/2015
11/01/2013 13:41:20	Margin Exception Note: 90 Day 1200K+ 500bps	BROKER-DEALER	10/01/2018
11/04/2013 17:10:45	OPTIONS - COVERED & UNCOVERED PUTS/CALLS, OPTION SPREADS & SPREADS	BROKER-DEALER	11/01/2015
01/21/2017 09:00:00	PARTIAL NON-MSCL TO BOW/CLEINT PROCESSED PARTIAL TRANSFER PROCESSED	PUBLIC	01/01/2013
01/21/2013 17:50:50	Upgraded to Moderate Risk for negative media reasons, see KYC and CP Approval - 2012253 (AML Compliance)	BROKER-DEALER	01/01/2113

Documents | Revenue Summary | Order/Trade Status | Items For Attention | Alerts

Thank you,  
Vahe

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