

Classification: **For internal use only**

Hi Kim,

AML Compliance (Yoonsun) has requested that we receive your approval to move forward on all Southern Financial/Epstein KYC remediation's.

As per your discussions with Stew regarding the latest lawsuit against Jeffrey, please find the attached 'Notice of Dismissal' dated 10/5/18.

As this occurred after your approval on 10/2/17, please confirm again that we are comfortable moving forward.

Thank You,  
Rich

Richard Iarossi  
Assistant Vice President

Deutsche Bank Wealth Management  
345 Park Avenue, 24th Floor  
New York, NY 10154



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**From:** Kimberly Hart  
**Sent:** Tuesday, October 09, 2018 12:15 PM  
**To:** Stewart Oldfield <[REDACTED]>  
**Cc:** Bradley Gillin <[REDACTED]>  
**Subject:** RE: Epstein - negative media [I]

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Was this returned as an RDC alert – or part of the regular Lexis/Nexis due diligence?

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<image001.gif>

**Kimberly Hart**  
Managing Director | Divisional Control Officer  
Wealth Management Americas

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