

Kindly assist with the below.

Thanks in advance.

Regards



**Mayur Rathod**

KYC Case Representative

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**From:** Stewart Oldfield

**Sent:** Wednesday, October 31, 2018 6:46 PM

**To:** Mayur Rathod [REDACTED]

**Cc:** Richard Iarossi [REDACTED]; Bradley Gillin [REDACTED]; Vinita Advani

[REDACTED]; Vijay-A Sawant [REDACTED]

**Subject:** Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

[REDACTED]  
Securities offered through Deutsche Bank Securities Inc.

On Oct 31, 2018, at 9:13 AM, Mayur Rathod [REDACTED] wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.