

See below. The IA requirement is 40% as requested by CRM. Will be back to you once I have pricing – I expect to have shortly.

Best,  
Vahe

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**From:** Andrew Xu  
**Sent:** Tuesday, June 28, 2016 1:19 PM  
**To:** Vahe Stepanian; Roddy Moore  
**Cc:** Daniel Sabba; Keith Buckholz  
**Subject:** RE: Southern Financial - IA Request [I]

Classification: **For internal use only**

Ok thanks. I'm satisfied on our end.

Andrew Xu, CFA

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**From:** Vahe Stepanian  
**Sent:** Tuesday, June 28, 2016 1:17 PM  
**To:** Andrew Xu; Roddy Moore  
**Cc:** Daniel Sabba; Keith Buckholz  
**Subject:** RE: Southern Financial - IA Request [I]

Classification: **For internal use only**

Andrew – just in the brokerage accts there are > \$100mm in assets.

Thank you,  
Vahe

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EPSTEIN	N4G023804	SOUTHERN FINANCIAL LLC 6100 RED HOOK QUARTER B3 ST THOMAS VI 00802	98,610,505.00
EPSTEIN	N4G024943	THE HAZE TRUST UAD 02/09/99 JEFFREY EPSTEIN & DARREN K INDYKE TTEES 6100 RED HOOK QUARTER B3 ST THOMAS VI 00802	41,108,732.17
EPSTEIN	N4G023812	SOUTHERN TRUST COMPANY INC 6100 RED HOOK QUARTER B3 ST THOMAS VI 00802	7,668,026.80
EPSTEIN	N4G024935	JEEPERS INC 6100 RED HOOK	7,108,576.30