

SWAP ADDENDUM ANNEX
CLIENT INFORMATION FORM

1. Please provide the following information regarding Client.

Legal Name of Client Swap Vehicle: SOUTHERN FINANCIAL LLC
Legal Address: 6100 RGD HOOK QUARTER R3, ST THOMAS,
Email Address: [REDACTED] USVI
Principal Occupation / Business: FINANCIAL INFOMATICS & BIOMEDICINE 00802
CFTC Interim Compliant Identifier or Legal Entity Identifier¹: CKK88E24733DTEIKLS94

2. Does Client have a Swap Agent?

YES NO

If yes, then please provide the following information regarding the Swap Agent.

Name: _____
Address: _____
Email: _____
Phone: _____

Each Swap Agent must also complete the Swap Agent Statement provided in Part 5 of this Protocol and return the completed Statement to DB.

3. Will Client's swaps be guaranteed by another entity?

YES NO

If yes, then please provide the following information regarding Client's guarantor.

Legal Name: _____
Legal Address: _____

Each Guarantor must also complete the ECP Questionnaire for Guarantors provided in Part 6 of this Protocol and return the completed Questionnaire to DB.

¹ The CFTC Interim Compliant Identifier (or "CICI"), which will ultimately be replaced by a Legal Entity Identifier (or "LEI"), is a unique customer identification number used by swap dealers as part of their reporting obligations under the CFTC Regulations.