
From: Paul Barrett [REDACTED]
Sent: 10/20/2017 5:09:58 PM
To: Martin Zeman [REDACTED]; Richard Kahn [REDACTED]
CC: Stewart Oldfield [REDACTED]; Xavier Avila [REDACTED]
Subject: RE: Southern Financial LLC - ISDA amendment [C]

Thanks

Will review.

Paul Barrett

Alpha Group Capital LLC
142 W 57th Street, 11th Floor, New York, NY 10019



From: Martin Zeman [REDACTED]
Sent: Friday, October 20, 2017 3:55 PM
To: Paul Barrett [REDACTED]; Richard Kahn [REDACTED]
Cc: Stewart Oldfield [REDACTED]; Xavier Avila [REDACTED]
Subject: Southern Financial LLC - ISDA amendment [C]

Classification: **Confidential**

Paul, Richard,

Please see attached the ISDA amendment we had been discussing. You will see that the CSA has a ceiling limit of \$100M, but this ceiling can be increased very easily as needed when we get there.

Please let us know if you have any comments or questions.

Martin



Martin Zeman

Director | Key Client Partners

Deutsche Bank Wealth Management
DB Securities Inc
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Mob. [REDACTED]
Email [REDACTED]

KCP products and services are intended and available only for persons who are sophisticated institutional investors within the meaning of the FINRA Rule 4512(C)(3), and who are capable of evaluating the strategies, characteristics and investment risks of, and exercising independent judgment in evaluating, the ideas and products discussed herein. Trades and transactions are subject to relevant internal approvals of DBSI or its affiliates prior to execution, and the execution of any transaction or idea discussed herein is conditional on your becoming a client of Deutsche Bank. Key Client Partners (KCP) products, investment ideas and solutions and related matters discussed herein are provided for discussion purposes only, and strictly on a non-advisory basis. The KCP Americas desk does not provide investment advice. The information set forth herein is confidential and personal to you and is