

From: Ian Salters [redacted]
Sent: 2/26/2019 8:34:44 AM
To: Rosemary Vrablic [redacted]; Russell Daulton [redacted]; Bradley Gillin [redacted]; Sean Jules [redacted]; Joshua Shoshan [redacted]; Xavier Avila [redacted]; Eva Pace [redacted]; Brian P Convey [redacted]; Douglas Robertson [redacted]; Stewart Oldfield [redacted]
CC: Ian Salters [redacted]
Subject: Closed account [1]

Classification: **For internal use only**

Dear All

As part of a Brexit KYC review, we noticed the below clients may have previously contemplated trading with GM (derivatives), but do not have any KYC in place. My question is whether these account be closed process as part of our clean up exercise. Please let me know your thoughts.

WM Client Name

WM Contact/ RM Updated

509 Madison Avenue Associates LP	Rosemary Vrablic
Cascade Investment LLC	Russell Daulton
CHARLES M VON ARENTSCHILDT TR UAD 04/19/12	
CHARLES & MEREDITH TTEES	Bradley Gillin
Heritage Investing Inc	Jimmy Benzaquen
James E Ferrell Revocable Trust Two	Sean Jules (RM) Josh Shoshan (KCP)
JOHN R MILLER ENTERPRISES LLC	Xavier Avila
Mantiqueira Overseas	Eva Pace
Meister, Keith A	Rosemary Vrablic (RM) Josh Shoshan (KCP)
PETER FINE	Brian Convey
Robert L Allbritton	Douglas Robertson
Robert Lewis Allbritton 1996 Trust	Douglas Robertson
SOUTHERN FINANCIAL LLC	Stewart Oldfield
Southern Trust Company Inc	Stewart Oldfield
The Shenandoah 2008 Trust	Rosemary Vrablic (RM) Josh Shoshan (KCP)

Kind regards,
Ian Salters



Ian Salters
COO Americas - Institutional Wealth Partners