

Classification: For internal use only

Hi Amanda,

AML Compliance has reviewed the RDC and PCR alerts dated 5/28/2013 pertaining to our prospective client, Jeffrey Epstein. The RDC Alerts are positive matches for our prospect as it pertains to negative information based on reputation. However, AML Compliance clears these alerts based on the attached email approval from Chip Packard with the support of senior management on an AML reputational and legal perspective to onboard the prospective client, Jeffrey Epstein.

The two PCR alerts involve a Jeffrey S Epstein that lives in Massachusetts. Our prospective client has a different middle name, Edward, and resides in New York and Florida. Accordingly, AML Compliance CLEARS these PCR alerts as false positives.

Best regards,
Andrew J. Kisz



Andrew J. Kisz
Associate | AML Compliance Officer

DB Services New Jersey, Inc.
Asset & Wealth Management



Passion to Perform

From: Amanda Kirby/db/dbcom
To: PWMUS AMLKYC/db/dbcom@DBAmericas,
Cc: Andrea Klingenberg/db/dbcom@DBAMERICAS
Date: 08/12/2013 05:42 PM
Subject: Fw: Jeffrey Epstein __RDC Alert,Jeffrey Epstein__PCR Alert [!]

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Hi,

I realized I never heard back for the below clearance approval. Please let me know as soon as possible as we are now moving ahead with this client and would like to open accounts ASAP.

Thank you,
Amanda