

From: Vijay-A Sawan [REDACTED]
Sent: 9/21/2018 10:18:14 AM
To: Richard Iarossi [REDACTED]
CC: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Mayur Rathod [REDACTED]
Subject: RE: 2018 Periodic Review of Accts Southern Financial LLC ([REDACTED]) & LSJE, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Hi Rich,

Following are the pending items in above accounts -

- One of the account for Southern Financial LLC ([REDACTED]) is Structured Transactions account with account ID on DB Force customer page as [REDACTED]. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**
- "Purpose of the account" required for all the below accounts.

Edit	Account Name	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
	SOUTHERN TRUST COMPANY INC.	Southern Trust Company, Inc.	DB AG NY Preferred Deposit	\$109,074.67	2/21/2017	✓	9/20/2018
	SOUTHERN TRUST COMPANY INC.	Southern Trust Company, Inc.	Brokerage Advisory	\$2,541,174.00	8/28/2013	✓	9/20/2018
	SOUTHERN TRUST COMPANY INC.	Southern Trust Company, Inc.	Money Market Business Account	\$2,486,173.24	8/27/2013	✓	9/20/2018

GCIS [REDACTED]

Edit	Account Name	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
	JEFFREY EPSTEIN	Jeffrey E. Epstein	Brokerage Advisory	\$659,052.00	9/30/2013	✓	9/20/2018
	JEFFREY EPSTEIN	Jeffrey E. Epstein	Domestic	\$115,735.96	4/16/2014	✓	8/29/2018

GCIS [REDACTED] - DB Insight is not showing the below account. We'll need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

Action	DB Account Name	Account ID	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edit	JEFFREY EPSTEIN VIRGIN ISLANDS	[REDACTED]	J. Epstein Virgin Islands Foundation, Inc	Brokerage Advisory	\$298,797.80	8/30/2013	✓	9/20/2018

Regards,



Vijay Sawant

KYC Case Representative
 Deutsche CIB Centre Private Limited - Deutsche Bank Group
 Wealth Management
 B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
 Goregaon East, Mumbai - 400063, India
 Tel: [REDACTED]
 Mo: [REDACTED]

From: Vijay-A Sawant
Sent: Friday, August 24, 2018 5:28 PM
To: Richard Iarossi [REDACTED]
Cc: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC ([REDACTED]) & LSJE, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High