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**From:** Stewart Oldfield [REDACTED]  
**Sent:** 2/28/2019 6:48:07 PM  
**To:** Richard Kahn [REDACTED]  
**Subject:** Re: DB relationship transition letter

No worries. Just wanted to get an update and chat before I sent that, but I kept the language vague enough. Will call tmw to discuss where we have accounts with balances and also zero balance accounts which haven't been closed. Let's get those ones cleaned up right away so they're done. Thanks.

Stewart Oldfield, CFA, CAIA

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Securities offered through Deutsche Bank Securities Inc.

On Feb 28, 2019, at 5:28 PM, Richard Kahn <[REDACTED]> wrote:

sorry i was unable to call sooner  
please call when free today or tomorrow  
thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Feb 28, 2019, at 3:40 PM, Stewart Oldfield <[REDACTED]> wrote:

Thank you again for your efforts during this process. I understand that arrangements have made for the full transfer of any remaining brokerage assets and that will be complete shortly. You have also been able to move and close several bank deposit accounts. We appreciate that despite this strong progress, you need some more time to complete the transfer of remaining assets to other institutions. Please attempt to have that wrapped up by March 29<sup>th</sup> if possible. If there is anything we can do to help expedite this, please let us know.

Thanks,