From: Vijay-A Sawant
Sent: Tuesday, July 31, 2018 6:31 PM
To: Bradley Gillin <[redacted]>; Stewart Oldfield <[redacted]>
Cc: Mayur Rathod [redacted]
Subject: 2018 Periodic Review of High Risked Accounts [redacted]), Butterfly Trust (GCIS#486426) and Neptune, LLC (GCIS#487264) under the SOUTHERN FINANCIAL RELATIONSHIP
Importance: High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, we have completed the attached checklist, main RP's also listed below for reference –

Acct Holder – **Butterfly Trust**
Grantor – **Jeffrey Epstein**
Trustees – **Harry Beller & Erika Kellerhals**
UBO's – [redacted], [redacted], [redacted], [redacted], [redacted], **Darren Indyke, Karyna Shuliak, Richard Kahn,** [redacted]

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Volcker Questionnaire completed reflects this trust as a "Family Trust" but this seems inaccurate. All UBO's have different surnames and some even different nationalities. Please advise if ok to accept? I have attached the questionnaire in this email for reference.
- Depending on the response to above bullet point, we might require CDD form if this trust is deemed to be Corporate trust.

Acct Holder – **Neptune LLC**
Sole Member & Shareholder – **Jeffrey Epstein**
Manager – **Richard Kahn**
Authorized Signers – **Harry Beller & Darren Indyke**

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Fincen CDD form required, attached blank form for reference

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Acct Holder & Authorized Signatory – [redacted]
Joint Acct Holder & Authorized Signatory – **Karyna Shuliak**
SOW Company (for Karyna Shuliak) – **JSC Interiors LLC**

Following are the list of required items –

- Confirm whether material changes to account or not?