

KYC Case # : **01082293**Status : **6. Approved****One sheet must be established per relationship - list all accounts included in the relationship**

1. Relationship Details			
Relationship Name:	EPSTEIN, JEFFREY RELATIONSHIP:00000483290		Booking Center: New York
Relationship Manager:	Paul Morris		
Relationship to PWM:	☐ New PWM Relationship		☐ Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile:		
How Was the Client(s) Introduced? How long has the RM personally known the client?	☐ Client Referral	☐ RM Prospect	☐ Intermediary/FIM
	☐ Other Source (CIB, etc.)		
Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein was a client of Paul's at JP Morgan. Paul and Jeffrey Epstein had a mutual friend/colleague who introduced them while Paul was at JPMorgan. Over the course of a few meetings Paul was able to get Jeffrey to bring some of his funds over to JPMorgan			
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	☐ Yes		☐ No
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	☐	Southern Financial, LLC	8/19/2013
2	☐	Southern Financial, LLC	8/19/2013
3	☐	Southern Trust Company, Inc.	8/19/2013
4	☐	Southern Trust Company, Inc.	8/19/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Jeffrey Epstein		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.):