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Email: [REDACTED]

From: Stewart Oldfield

Sent: Wednesday, October 31, 2018 6:46 PM

To: Mayur Rathod <[REDACTED]>

Cc: Richard Iarossi <[REDACTED]>; Bradley Gillin <[REDACTED]>; Vinita Advani <[REDACTED]>; Vijay-A Sawant <[REDACTED]>

Subject: Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA
Director

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Securities offered through Deutsche Bank Securities Inc.

On Oct 31, 2018, at 9:13 AM, Mayur Rathod <[REDACTED]> wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.

Thanks & Regards

<image001.gif>

Mayur Rathod

KYC Case Representative

Deutsche CIB Centre Private Limited

Wealth Management

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