

Cc: Vahe Stepanian

<[REDACTED]>

Subject: RE: trading capabilities

Generally I think we can just do listed options in your wealth management brokerage accounts. We don't have investment banking/OTC capabilities for you unless we can trade via long form confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn

[REDACTED]

Sent: Tuesday, April 25, 2017 3:48 PM

To: Stewart Oldfield

Subject: trading capabilities

are we able to do any of the following trades in our accounts

- Trade fx forwards and options
- CDS
- Equity derivatives

If so would this be out of your Wealth Management group or Investment Bank?

please advise
thank you

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