
From: Richard Kahn [REDACTED]
Sent: 8/28/2017 12:52:18 PM
To: Vahe Stepanian [REDACTED]
CC: Stewart Oldfield [REDACTED]; Liam Osullivan [REDACTED]
Subject: Re: trading meeting [C]

received and will send back middle of September once our consultant begins
thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

On Aug 25, 2017, at 3:04 PM, Vahe Stepanian <[REDACTED]> wrote:

Classification: **Confidential**

Rich – please find attached the paperwork to grant your new hire trading authorization on the existing brokerage accounts. For all of the accounts other than the JEE personal account – the “Trading Authorization Limited” form should be completed.

For only the JEE personal account – the POA should be completed, and we suggest checking off as “limited” power of attorney if only granting trading authority (vs. full POA, which would allow him to also transfer assets out of the account). Both forms have sections for name, legal address, DOB, and SSN. Our compliance mandates that the forms should be completed using your new hire’s personal address on the forms (i.e. not his office address). In addition, we will need a government issued (non-expired) photo ID.

I’m CC’ing my colleague Liam here who will help on the documentation side. Please let us know if you have questions.

Have a great weekend.

Best,
Vahe

From: Stewart Oldfield
Sent: Friday, August 25, 2017 11:18 AM
To: Richard Kahn <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>
Subject: RE: trading meeting

Vahe - Can you help Rich with those docs?