
From: Bradley Gillin [REDACTED]
Sent: 10/12/2018 11:43:12 AM
To: Vijay-A Sawant [REDACTED]; Stewart Oldfield [REDACTED]
CC: Richard Iarossi [REDACTED]; Mayur Rathod [REDACTED]
Subject: RE: Assistance required in resolving Rejection Points - KYC 01977695 [I]
Attachments: Zorro Sept 2018 Bank stmt.pdf; PB LTA.PDF; PB ID.PDF

Classification: **For internal use only**

Vijay,

Paul Barrett should be added to the KYC – ID and SS# attached.

See attached bank statement for Zorro.

Regards,
Brad



Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant
Sent: Friday, October 12, 2018 4:11 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Mayur Rathod <[REDACTED]>
Subject: RE: Assistance required in resolving Rejection Points - KYC 01977695
Importance: High

Hi Stewart,

Many thanks for the confirmation, we look forward to the documentation regarding the case dismissal which should help in resolving the rejection point below. Below two are the remaining pending items -

- 1) Please provide documentation that evidences signing authority for - Southern Trust Company Inc - N4G023812 – DBSI. (KG – UNRESOLVED. After reviewing this account in NetEx, it seems that Paul S Barrett is was added as an LPOA. Please add him and provide the appropriate research etc.) – Kindly advise on this? Does Paul Barrett really need to be added? He was not added in previously approved cases. Kindly provide supporting documentation if your answer is yes.
- 2) Please provide proof of address for Zorro Management LLC? (KG – UNRESOLVED. Please provide independent proof) – Please advise if ok to use DB bank statement as ‘independent’ proof of address or provide any alternative relevant doc, if available. They are not accepting address verification from the LLC Agreement for this entity.

Kind Regards,