
From: Stewart Oldfield [REDACTED]
Sent: 10/24/2018 9:49:33 AM
To: Bradley Gillin [REDACTED] Richard Iarossi [REDACTED]
Subject: RE: Assistance required in resolving points - KYC [REDACTED]

Let's get a list of things we need confirmed by JE's team and set up a call with Rich Kahn. He knows we're plowing through all of this remediation.

From: Bradley Gillin
Sent: Wednesday, October 24, 2018 9:44 AM
To: Stewart Oldfield [REDACTED]
Subject: Fwd: Assistance required in resolving points - KYC [REDACTED]

Regards,
Brad

Bradley Gillin
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl.
New York, NY 10154
[REDACTED]

Begin forwarded message:

From: "Alka Babu" [REDACTED]
To: "Bradley Gillin" [REDACTED] "Richard Iarossi" [REDACTED]
Cc: "Vinita Advani" [REDACTED] "Vijay-A Sawant" [REDACTED]
Subject: Assistance required in resolving points - KYC [REDACTED]

Classification: **For internal use only**

Hi Brad,

We had a rejection for the captioned KYC for accounts The 2007 Jeffrey E. Epstein Insurance Trust #3, The Haze Trust, NES, LLC, Plan D, LLC

We need your inputs on the points highlighted in red.

Case #: 01977694

Relationship: SOUTHERN FINANCIAL RELATIONSHIP Customer Name: The 2007 Jeffrey E. Epstein Insurance Trust #3 Customer Number: [REDACTED] Reason for Rejection:10/19/2018 - Rejection Points:

1. Please provide evidence of or a case comment regarding confirmation from the client that the information on the KYC is still accurate (i.e. ownership structure, signatories, etc. have not changed). The comment must include the name of the person spoken with and date this conversation occurred. (The attached internal email does not meet this requirement.) – **Have attached the no material confirmation received by you for your reference, however we need more info as per the rejection point.**