From: Bradley Gillin ███████████████████

Sent: 11/5/2018 9:03:35 AM

To: Alka Babu ████████████████

CC: Mayur Rathod ████████████████; Vijay-A Sawant ████████████████ Vinita Advani ████████████████; Stewart Oldfield ████████████████; Richard Iarossi ████████████████

Subject: RE: Hyperion Air LLC - KYC [I]

Importance: High

Classification: **For internal use only**

Both were previously answered.

Lawrence is not a signer (as per my email below), he is a legal rep.
We spoke to the family office on Nov 1 to confirm no material changes.

Regards,
Brad

From: Alka Babu
Sent: Monday, November 05, 2018 7:43 AM
To: Bradley Gillin ████████████████████████
Cc: Mayur Rathod ████████████████; Vijay-A Sawant ████████████████; Vinita Advani ████████████████

Subject: RE: Hyperion Air LLC - KYC [I]

Classification: **For internal use only**

Hi Brad,

Thank you for the attachment (ID for Lawrence Visoski) you forwarded.

Now the only remaining ones for this case are as below. Please refer to the font in black as that is the rejection points :

• Please provide evidence of or a case comment regarding confirmation from the client that the information on the KYC is still accurate (i.e. ownership structure, signatories, etc. have not changed). The comment must include the name of the person spoken with and date this conversation occurred. (The attached internal email does not meet this requirement.) – No material confirmation email was attached to the KYC but will not suffice as per AFC. They need evidence of client (person) & date +confirming no material changes.

• Please attach evidence of signing authority (Corporate authorization) for the brokerage account of J. Epstein Virgin Islands Foundation In., granting signing auth for Erika Kellerhals. – Will need DB Corporate Resolution for this brokerage account#████████████

Kind regards,

Alka Babu

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway