

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel: [REDACTED]
Mo: [REDACTED]
viji

From: Vijay-A Sawant
Sent: Monday, September 10, 2018 8:12 PM
To: Stewart Oldfield <[REDACTED]>; Richard Iarossi <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Mayur Rathod <[REDACTED]>
Subject: Assistance Required in Resolving Rejection Points - KYC Case#01977698
Importance: High

Hello Stewart,

We require your assistance in resolving rejection point 8, highlighted in yellow below? We require this info for the following accounts, please see existing comments in case below –

[REDACTED] & Karyna Shuliak- 35267258 - DDA - Checking account for the daily use of Jennifer and Karyna.
Butterfly Trust - 44130552 - MMBA - Deposit account to hold funds for the trust.
Neptune LLC - 42953424 - DDA - Checking account used for the daily needs of running Jeffrey Epstein's home in NYC.

Your KYC has been rejected.

Case #: 01977698

Relationship: SOUTHERN FINANCIAL RELATIONSHIP Customer Name: [REDACTED] Customer

Number: 00000489505

Reason for Rejection:1) Please provide ID for Louella.

- 2) Please provide full signing authority document for Neptune LLC.
- 3) Please re-run research for Jeffrey Epstein & Erike Kellerhals with the country as Virgin Islands, US.
- 4) The google search for Darren Indyke has a few negative articles regarding Jeffrey Epstein's foundation and also has court cases against Jeffrey Epstein. Please address them under section 4D "Please summarize any negative results from the database searches indicated above:"
- 5) Please indicate where we can locate the IDs for Darren Indyke and Richard D Kahn.
- 6) Please provide the FinCEN CDD Form.
- 7) Due to recent DB Force enhancements, all structured country fields (i.e., those converted to drop downs and multi pick lists) must be populated in the KYC Wizard. Please ensure these fields are completed for all KYC parties.
- 8) Please explain in detail the purpose of each account. You can add this in the "What is the purpose of the account."
- 9) Please provide SOW verification.

Let me know if any queries, thanks.

Kind Regards,



Vijay Sawant