

To: Tazia Smith
Cc: Delores Rubin; Stewart Oldfield; Vahe Stepanian; Matt Glassman; Davide-A Sferrazza
Subject: Re: Rothschild [C]

mr epstein is not yet ready to put and order in without any firm indication on where he can get done for 100k
thank you for your work on this..

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell [REDACTED]

On May 23, 2017, at 12:06 PM, Tazia Smith <[REDACTED]> wrote:

Classification: **Confidential**

Rich –

Closing the loop on today's trading, ROTH FP closed at 28 EUR on 12,414 daily volume.

DB did trade small today, ~ 3,000 shares, split between High Touch and Low Touch which is usually the case for this name. The big International houses generally see Low Touch business, where the boutique houses dominate the High Touch. DB has good relationship with many of these boutique shops that work High Touch orders (as yours would be), so let us know if you'd like to discuss further per my prior note about working smaller size.

Speak Soon,

Tazia

Cell: [REDACTED]

From: Tazia Smith
Sent: Tuesday, May 23, 2017 6:19 AM
To: Delores Rubin; 'Richard Kahn'
Cc: Stewart Oldfield; Vahe Stepanian; Matt Glassman
Subject: RE: Rothschild [C]

Rich - Just tried calling you. Please call Delores directly if you have follow through on this at [REDACTED]

As team relayed, DB isn't (nor are we in touch with as agent) a marker maker on a