
From: Rodney Macelus [REDACTED]
Sent: 6/13/2018 5:58:23 PM
To: Terri Sohrab [REDACTED]; Stewart Oldfield [REDACTED]; Bradley Gillin [REDACTED]
Subject: CDD Update
Attachments: CDD Forms_Sohrab_Oldfield_Gillin.xlsx; Fincen CDD Form_Certification_Regarding_Beneficial_Owners_of_Legal_Entit....pdf

Hello,

Please see attached CDD spreadsheet. I took most of the information from the spreadsheet that was sent out by Armen last week. Kindly confirm status on these as some may be incorrect because I was basing it off of emails that I was privy to. Once confirmed, I'm happy to forward the CDD form onto the remaining clients and follow up with those whom we've yet to hear back from.

I've attached the CDD form and provided the email template being used below.

Dear (Client Name),

This year, the United States Department of Treasury enacted a new regulation, the Customer Due Diligence Requirements for Financial Institutions ("CDD Rule"), which requires Deutsche Bank Wealth Management to obtain, verify, and record information about our legal entity customers.

In order to remain compliant with our obligations to combat financial crime, Deutsche Bank has enhanced its KYC policies. As we update your KYC files, we must also obtain the attached Certification Regarding Beneficial Owner of Legal Entity Customers ("Certification") from you.

We kindly ask you to return the completed and signed Certifications. You may return the completed and signed Certifications via e-mail, fax or regular mail. However, please be aware that pursuant to the guidance issued with the CDD Rule, the individual that returns the Certification must be the same person that completes and signs the Certification.

Once we have reviewed your completed Certification, we will contact you if additional information is required. Thank you.

Entities to complete CDD form:

(List of Entities)

Best,



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