

From: Andrew Gallivan [REDACTED]
Sent: 12/1/2016 9:23:52 AM
To: Vaishali-P Mehta [REDACTED]
CC: Douglas Robertson [REDACTED]; Mark Gonzalez [REDACTED]; Stewart Oldfield [REDACTED]
Subject: RE: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Approved

From: Vaishali-P Mehta
Sent: Thursday, December 01, 2016 9:22 AM
To: Andrew Gallivan
Cc: Douglas Robertson; Mark Gonzalez; Stewart Oldfield
Subject: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Dear Andrew,

Below are the ACUs which were completed for your High Risk customers this month.
 All the below ACUs were completed showing no material changes to the client's circumstances.

Annual Client Update: ID	GCIS Customer Number	Customer Owner	MD	Customer Name	Annual Client Update: Created Date	A14.	A15. Client Status Update Description	A16.	A17.
0078846	Douglas Robertson	00000494398	Andrew Gallivan	Robert Lewis Allbritton 1996 Trust	11/10/2016	The trustee, Robert Allbritton, continues to live and work in DC area focusing on small direct investments across industries.	The purpose of the discussion was an annual review of the portfolio, markets, and their ongoing cash needs (flows in / out). There are no material changes with this client.	No	No
0078488	Mark Gonzalez	00000480264	Andrew Gallivan	Bal Harbour 1604N, LLC	10/26/2016	Mr. Forkosh resides in New York and spends time in South Hampton and Miami. His income is derived from his commercial and rental properties.	Nothing has materially changed for this client.	No	No