



Account Transfer Form

Mail or Fax to:

PO Box 2760 ■ Omaha, NE 68103-2760

Fax: 866-468-6268

Receiving Firm DTC Clearing Number: 0188

Please use the Direct Registration System (DRS) Transfer Form TDA 100557 for transfer from a transfer agent.

Instructions: Attach a complete copy of your most recent statement, of the account you are requesting the transfer from, (dated within 90 days) in order for the transfer to be processed. Please submit the completed form to TD Ameritrade Attn: TD Ameritrade, PO Box 2760, Omaha, NE 68103-2760 or fax to 866-468-6268. To submit the completed and signed form(s) electronically through our secure Message Center, scan it, along with any other material you're sending. Then log in to your account and go to Client Services > Message Center to write us, and attach the scanned document(s) to your message.

***If you are requesting to transfer from your Qualified Retirement Plan, please contact your plan administrator; this form may not be required. If you are transferring between two TD Ameritrade accounts, please use the Internal transfer form. Please note you cannot use this form to transfer from a standard checking account and/or savings account from a bank/credit union. For IRAs, and Beneficiary IRAs where the original owner was 70½ years or older at death, please attach a copy of your end of year statement to enable us to calculate the required minimum distribution for the account.*

1. ACCOUNT INFORMATION

Your TD Ameritrade Account

(The registration of the account being transferred should match your TD Ameritrade account and the Tax ID for both the TD Ameritrade account and account being transferred.)

Account Number (Required):
(Only one per form) [REDACTED]

Account Registration/Title:
SOUTHERN FINANCIAL LLC

Social Security/Tax ID Number:
[REDACTED]

Social Security/Tax ID Number:
(Secondary, if applicable)

Account Type (select one)

- Individual – (Non IRA)
- Joint
- Trust
- Corp/Business
- UGMA/UTMA
- Estate
- Other: _____
- Traditional or Rollover IRA
- Roth IRA
- Beneficiary IRA
- Beneficiary Roth IRA
- Qualified Plan (401k, 403B, PSP, etc.)
- SIMPLE IRA
- SEP IRA

2. PLEASE PROVIDE INFORMATION ABOUT THE DELIVERING ACCOUNT

*(As directed in Section 1 both registration and Tax IDs for the TD Ameritrade account and account being transferred **should match.**)*

Account Number (Required):
(Only one per form) [REDACTED]

Account Registration/Title:
SOUTHERN FINANCIAL LLC

Social Security/Tax ID Number:
[REDACTED]

Social Security/Tax ID Number:
(Secondary, if applicable)

Contra/Delivering Firm Name (Required):
DEUTSCHE BANK PRIVATE WEALTH MANAGMENT

Contra/Delivering Firm Address:
345 PARK AVE 26TH FLOOR NY, NY 10154

Contra/Delivering Firm Phone Number (Required):

Contra/Delivering Firm Email:

Account Type (select one)

- Individual – (Non IRA)
- Joint
- Trust
- Corp/Business
- UGMA/UTMA
- Estate
- Other: _____
- Traditional or Rollover IRA
- Roth IRA
- Beneficiary IRA
- Beneficiary Roth IRA
- Qualified Plan (401k, 403B, PSP, etc.)
- SIMPLE IRA
- SEP IRA

*If the registrations do not match, you may either establish a new account online at www.tdameritrade.com or you must supply additional documentation and all delivering account owners must sign section 4. For unlike title/registration transfer, please call the transfer department at 888-723-8504, option 4 for additional requirements. *Please note if there are any issues with processing your transfer, we will communicate that to you via your secure message center when you log in to your account.*

