

**4. REGISTRATION DIFFERENCES AND DELIVERY ACCOUNT OWNERS' SIGNATURES (IF APPLICABLE)**

**Registration Difference**

For unlike titled or type transfers, such as Individual to Joint, I authorize the transfer from \_\_\_\_\_ account to \_\_\_\_\_ account. All parties on the delivering account must sign below. All TD Ameritrade parties must sign in section 6 for transfer authorization.

<input checked="" type="checkbox"/> Client Signature:	Date:
<input checked="" type="checkbox"/> Client Signature:	Date:
<input checked="" type="checkbox"/> Client Signature:	Date:

**5. ONE AND THE SAME LETTER (IF APPLICABLE)**

If you are transferring an account, and the name(s) at TD Ameritrade do not exactly match but are still one and the same person, please complete this section. This section should be utilized if your name has changed due to one or more of the following: Marriage, Divorce, Name Spelling Error, or any type of Name Change including Jr., Sr., etc. If last name difference, must supply legal documentation: that is, state issued driver's license, passport, or government ID. (Please specify account types, such as Individual to Joint)

I, \_\_\_\_\_ (please print name), am One and the Same as \_\_\_\_\_ (please print name) as shown on the delivering firm account. (Please specify account types, such as Individual to Joint)

Please sign name BOTH ways.

<input checked="" type="checkbox"/> Signature:	<input checked="" type="checkbox"/> Signature:
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**6. TD AMERITRADE CLIENT AUTHORIZATION (REQUIRED)**

All TD Ameritrade account holders (clients or trustees) as indicated by the account registration must sign this section.

Unless otherwise indicated in the instructions above, please transfer, in-kind, all assets into my account with TD Ameritrade. I understand that the extent any assets in my account are not readily transferable, with or without any penalties, such assets may not be transferred within the time frames required by applicable regulations. I understand I will be contacted by the carrying and/or receiving firm with regard to any assets that are not transferable. I authorize the Transferor to deduct any outstanding fees due to transfer from the credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees. If certificates or other instruments in my account are in your physical possession, I instruct Transferor to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, for a full account transfer, Transferor will freeze my account and cancel all open orders. I also understand that no new orders may/will be taken. I affirm that I have destroyed or returned to the Transferor all credit/debit cards and/or unused checks issued to me in connection with my account.

If this TD Ameritrade account is a qualified retirement account, I have amended the applicable plan to designate the successor custodian. Alternatively, if this TD Ameritrade account is an Individual Retirement Account (IRA), I have adopted an IRA plan so that it names the successor custodian.

<input checked="" type="checkbox"/> Client Signature:	Date:
<input checked="" type="checkbox"/> Co-Owner's Signature:	Date:
<input checked="" type="checkbox"/> Co-Owner's Signature:	Date:
<input checked="" type="checkbox"/> Co-Owner's Signature:	Date:

(Medallion Signature Guarantee – For TD Ameritrade Use Only)

**7. LETTER OF ACCEPTANCE (FOR OFFICE USE ONLY)**

The undersigned organization agrees to serve as successor custodian for the account of the above-named individual, and as custodian, we agree to accept the assets being transferred.

<input checked="" type="checkbox"/> Representative Signature:	Date:
Representative Printed Name:	

(Medallion Signature Guarantee – For TD Ameritrade Use Only)

**For TD Ameritrade Clearing, Inc. use only**

Please be advised that TD Ameritrade Clearing, Inc. is an "Approved 403(b)(7) Vendor" and/or has entered into an Information Sharing Agreement with the Employer for the above-captioned 403(b)(7) account. The Employer/Agent Signature above hereby authorizes TD Ameritrade Clearing, Inc. to accept this exchange.

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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