



Zbynek "Ziggy" Kozelsky
 Director | Branch Administrative Manager

Investment Solutions Group US & Latin America
 Deutsche Bank Securities Inc.
 Deutsche Bank Wealth Management
 345 Park Avenue, 24th Floor
 New York, NY 10154
 Tel. [REDACTED]
 Email [REDACTED]

All trade execution information contained herein is being provided as an accommodation at your request in advance of your receipt of the official trade confirmation(s). Additional trade detail information available upon request. The terms of the trade(s) may be subject to change prior to settlement, and therefore the official trade confirmation(s) and account statements issued by Deutsche Bank shall govern. Deutsche Bank is not responsible for any discrepancy between the informal execution report and the official trade confirmation(s) or account statements.

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From: Vahe Stepanian
Sent: Wednesday, July 12, 2017 4:34 PM
To: Zbynek Kozelsky [REDACTED]
Cc: Stewart Oldfield [REDACTED]
Subject: Ziggy - see below. Can you confirm that Southern Financial can trade listed options? Thank you. [1]

Classification: For internal use only

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Account [REDACTED] As of 07/11/2017 Intra Day (Data is delayed; not in real time)

Long Market Value	304,492,421.92	Short Market Value	0.00	Net Worth	121,701,973.37	Total Available Funds	1.61
Today's Net Gain	0.00	Net Portfolio	0.00	Total Daily Income	0.00	Total Available Shares	121,70

Notes

View: All Notes

ENTERED	NOTES	TYPE	EXPIRE
01/21/2014 16:07:53	DIBAF's Full Power of Attorney has been accepted with Darren Inajke as authorized agent for Southern Financial LLC. Authorized agent has been closed the...	BROKER-DEALER	11/15/2014
11/24/2013 14:52:08	DIBAF's Full Power of Attorney has been accepted with Harry J Rafter Sr. Jeanne Brinkman-Wehrbach as authorized agent for Southern Financial LLC. Authorize...	BROKER-DEALER	11/15/2015
11/21/2013 13:41:00	Morgan Exception Path: W Day3B0E+50bps	BROKER-DEALER	10/15/2018
11/24/2013 17:19:46	OPTIONS - COVERED & UNCOVERED WARRANT, OPTION BUYING & SPREADS	BROKER-DEALER	11/15/2015
01/21/2017 09:00:00	PARTIAL NON-NSCC TO BOW/CIBENT PROCESSED-PARTIAL TRANSFER PROCESSED	PUBLIC	01/21/2013
01/21/2013 17:10:00	Upgraded to Moderate Risk for negative media reports, see RFC and CP Approval: [REDACTED] (AME Compliance)	BROKER-DEALER	01/21/2013

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Thank you,
 Vahe