
From: Natalie Barak [REDACTED]
Sent: 6/6/2019 11:02:49 AM
To: Brigid Macias [REDACTED]
CC: Stewart Oldfield [REDACTED]
Subject: FW: OD Referral dated 06-05-2019 For AC # [REDACTED]
Attachments: 7.pdf

Classification: **For internal use only**

Hi Brigid - account is still open for Neptune.



Natalie Barak

Vice President | Relationship Officer
Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas
345 Park Avenue, New York, NY 10154

[REDACTED]

Securities offered through Deutsche Bank Securities Inc

From: Hemant-Kumar Rathore
Sent: Thursday, June 06, 2019 10:59 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin <[REDACTED]>; Rachel Wachs <[REDACTED]>; Natalie Barak <[REDACTED]>
Cc: Halina Laczny <[REDACTED]>; Debra Jasper <[REDACTED]>
Subject: OD Referral dated 06-05-2019 For AC # [REDACTED] Oversight Control <[REDACTED]>

Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form -

1. Transactions - Ok to pay / Not Ok to pay
2. Reason on how and when the OD will be covered
3. Seek CRM Approval for any account if overdrawn USD 100K.

Thanks,

With regards,
Hemant-Kumar Rathore



Hemant-Kumar Rathore