

						swap and introduced the GSMM investment opportunity.			
		Butterfly Trust	Andrew Gallivan	3/16/2017	change in beneficiaries	No material change	Yes	No	Stewart Oldfield
		HBRK Associates, Inc	Andrew Gallivan	3/16/2017	No material change	No material change	Yes	No	Stewart Oldfield
		Hyperion Air, LLC	Andrew Gallivan	3/16/2017	no material change	no material change	Yes	No	Stewart Oldfield
		JEGE, Inc	Andrew Gallivan	3/16/2017	no material change	no material change	Yes	No	Stewart Oldfield
		JEGE, Inc	Andrew Gallivan	3/16/2017	no material change	no material change	Yes	No	Stewart Oldfield
		JEGE, LLC	Andrew Gallivan	3/16/2017	No material change	No material change	Yes	No	Stewart Oldfield
			Andrew Gallivan	3/16/2017	no material change	no material change	Yes	No	Stewart Oldfield
		Neptune, LLC	Andrew Gallivan	3/16/2017	no material change	no material change	Yes	No	Stewart Oldfield
		NES, LLC	Andrew Gallivan	3/16/2017	No material change	No material change	Yes	No	Stewart Oldfield
		Plan D, LLC	Andrew Gallivan	3/16/2017	No material change	No material change	Yes	No	Stewart Oldfield
		Southern Financial LLC	Andrew Gallivan	3/16/2017	No changes in activities.	No changes	Yes	No	Stewart Oldfield
		Southern Trust Company, Inc.	Andrew Gallivan	3/16/2017	Southern Trust Company has not changed its nature of business within the past year. it is still a private investment company and has no changed.	Southern Trust is still a private investment company and has not had any changes	No	No	Stewart Oldfield
		The 2007 Jeffrey E. Epstein Insurance Trust #3	Andrew Gallivan	3/16/2017	No material change	No material change	Yes	No	Stewart Oldfield
		Altpoint Capital Partners, LLC	Andrew Gallivan	3/10/2017	They are part of the small accounts exit project.. we are planning to close their accounts	There are no changes to clients employment status, health or primary residence.	No	No	Brian Convey
		Jarero, Cristina	Andrew Gallivan	3/10/2017	John Marson spoke with client re: accounts	There are no material changes	No	No	Brian Convey
		Kestenbaum, Jacob	Andrew Gallivan	3/13/2017	Scott Prokop and Brian Convey speaks with client to review his account.	There are no material changes	Yes	No	Brian Convey