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Customer
Southern Trust Company, Inc.

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Recycle Bin

Customer Detail

Customer Record Type	Client	Customer Owner	Stewart Oldfield (Change)
Customer Name	Southern Trust Company, Inc. [View Hierarchy]	German PD	
Relationship	SOUTHERN FINANCIAL RELATIONSHIP	Created By	Komal Shahani , 5/28/2013 10:32 AM
* Type	Organization	Last Modified By	Relational Junction , 3/21/2017 9:13 PM
SSN		GCS Customer Number	0000483853
Federal Tax ID #	66-0779861	Bank	1
Referral Source		* Moderate Risk	<input type="checkbox"/>
Name of Referral Source		* High Risk	<input checked="" type="checkbox"/>
Third Party Referral Source		Risk Reason	KYC 1195100
		Risk Rating: 521	C 02 KYC 1082293 PEP
Status	Active	Risk Type	
Client Since Date	8/26/2013	Votcker Covered Fund?	No
Former Client?	<input type="checkbox"/>	Will Appointment	<input type="checkbox"/>
Portfolio Management Team		Gross Estate	
Covenant Contact Preference		* Booking Location	
EEA Client Type	N/A	Switzerland	<input type="checkbox"/>
		Form K	
		KCP Eligible	
Custom Links	View DB Accounts and Revenue Request Customer Deletion Request Primary Officer Change Request New Relationship Launch NAO Wizard View NAO Cases Launch Due Diligence	Client/Assets At Risk Log Client Complaint Import Documents Export Documents View Documents From Repository	Create Annual Client Update Request ACU Contact Exemption View Exemption Guidelines Generate Key Relationship Report Launch ALAT Process View Loan Covenant Details

Classification

Potential New Revenue	\$25 - 50 K	Unconfirmed Investor Status	
Client Satisfaction	Satisfied	Investor Status Definitions	http://pwm.intranet.db.com/new/filehandler.php?...
Industry	Finance	* DB is Trustee/Co-Trustee	<input type="checkbox"/>
Revenue Tier	B (> \$50K < \$100K)	* DB Employee?	<input type="checkbox"/>
Forbes Ranking		* DB managed PIC	<input type="checkbox"/>
		* Bearer Shares?	<input type="checkbox"/>
Segment			

Financial Profile (KYC Information)

* Net Worth (Individual)	\$5 MM - 10 MM	Investment Objective	
* Investable Assets	\$2 MM - 5 MM	Risk Tolerance	
* Estimated Annual Income (Individual)		Sophistication	
* Estimated Annual Gross Income (Entity)	\$2,000,000.00	Source Of Income	
* Estimated Annual Net Profit (Entity)	\$1,500,000.00	* Source of Wealth (Individual)	
		* Description of Wealth/Entity Assets	Consulting Services relying on Financial and Biomedical algorithms. Southern Trust is a private consulting company that invests the assets of their clients and gets their revenue from the return of these investments. Southern Trust invests in different portfolios catered to their clients and makes their revenue based on the returns and the fees associated with managing their clients assets

Assets Held Elsewhere (KYC Information)

* Institution 1	db	* Est. ALR 1	\$2,000,000
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