

* Institution 2		* Est. AUM 2	
* Institution 3		* Est. AUM 3	
Additional Information (Entities Only)			
* Nature of business	Consult and invest client funds and gain revenue based on fees and returns	* Type of Entity	Company
* Country of Incorporation/Registration	US Virgin Islands	* Type of Entity Other (specify)	
* Date of Incorporation/Registration	11/18/2011	* Purpose of Entity:	Private Investment
* Countries where business is transacted	US Virgin Islands	* Purpose of Entity Other (specify)	
* Number of Employees	4	* Description of Organizational Ownership	Jeffrey Epstein is the sole shareholder of Southern Trust

Biography

Description

Investment Guidelines

Initial Financial Review

High Risk Updates/ACU Exemptions

Exemption Status	Annual Client Update Due Date	1/30/2018
Auto Exempt Reason	Overdue (Yes/No)	0
Exemption Reason	Post-Due Explanation	
Other (describe reason)	High Risk In-Person Meeting Due Year	2020
Birthdate	Lending Review Due Date	
ACU held in Customer	Territories	Banking, Stewart Oldfield
ACU on this Customer also Cover		

[Edit](#)

Contacts

[New Contact](#)

[Contacts Help](#)

Action	Contact Name	Salutation	Primary	Main Contact	Phone	Primary Phone Type	Email
Edit	Darren Indyke		<input type="checkbox"/>	<input checked="" type="checkbox"/>			
Edit	Richard Kahn		<input type="checkbox"/>	<input type="checkbox"/>			
Edit	Bella Klein		<input type="checkbox"/>	<input type="checkbox"/>		Business	
Edit	Southern Trust Company, Inc.		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

DB Accounts

[DB Accounts Help](#)

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edit	77000965 - SOUTHERN TRUST COMPANY, INC.	77000965	Southern Trust Company, Inc.	DB AG NY Preferred Deposit	\$1,000,420.15	2/21/2017	<input checked="" type="checkbox"/>	4/3/2017
Edit	N4G023812 - SOUTHERN TRUST COMPANY, INC.	N4G023812	Southern Trust Company, Inc.	Brokerage Advisory	\$7,138,917.00	8/26/2013	<input checked="" type="checkbox"/>	4/3/2017
Edit	44129244 - SOUTHERN TRUST COMPANY, INC.	44129244	Southern Trust Company, Inc.	Money Market Business Account	\$500,764.97	8/27/2013	<input checked="" type="checkbox"/>	4/3/2017
Edit	42952763 - SOUTHERN TRUST COMPANY, INC.	42952763	Southern Trust Company, Inc.	Deposit Transactions	\$1,000.00	8/27/2013	<input checked="" type="checkbox"/>	4/3/2017
Edit	N4G025106 - SOUTHERN TRUST COMPANY, INC.	N4G025106	Southern Trust Company, Inc.	Brokerage Advisory	\$0.00	10/8/2013	<input checked="" type="checkbox"/>	4/3/2017

[Show 2 more >](#) | [Go to list \(7\) >](#)

Contact Exemptions ACUs

[New Contact Exemption ACU](#)

[Contact Exemptions ACUs Help](#)

No records to display

Opportunities

[New Opportunity](#)

[Opportunities Help](#)

Action	Opportunity Name	Product Family	Stage	Close Date	Total Assets	Total Estimated Revenue
Edit	DOJ Deposit Recapture UPDATE	Banking Products	Business Awarded	2/24/2017	\$16,000,000.00	\$0.00
Edit	Checking	Banking Products	Business Awarded	12/18/2013	\$10,000,000.00	\$0.00
Edit	Checking	Banking Products	Business Awarded	10/18/2013	\$8,000,000.00	\$0.00
Edit	Brokerage #2	Brokerage	Inactive	10/18/2013	\$5,000,000.00	\$0.00
Edit	Checking	Banking Products	Business Awarded	10/15/2013	\$8,500,000.00	\$0.00

[Show 2 more >](#) | [Go to list \(7\) >](#)

Customer Team

[Add](#)

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Team Member	Team Role
Cynthia Rodriguez	Banking Officer