

February 1, 2019 - February 28, 2019
SOUTHERN FINANCIAL LLC

Transactions by Type of Activity (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
Securities Withdrawals and Deposits								
02/07/19		CUSTOMER AUTHORIZED TRANSFER	ALPHABET INC CAP STK CL C TRANS FRM N4G-024943	3,000.000			3,296,130.00	USD
		GOOG						
02/07/19		CUSTOMER AUTHORIZED TRANSFER	CARVANA CO CL A TRANS FRM N4G-024943	25,000.000			825,500.00	USD
		CVNA						
02/07/19		CUSTOMER AUTHORIZED TRANSFER	CITIGROUP INC DEP SHS REPSTG 1'10'00TH PFD SER K DIV 6.875% PERPETUAL MATY PERPETUAL CALL 11/15/23@25.00	79,000.000			2,101,400.00	USD
		C PRK						
02/07/19		CUSTOMER AUTHORIZED TRANSFER	JPM ORGAN CHASE & CO DEP SHS REPSTG 1'10' TH PFD SER 1 FIXED TO FLTG RT BD 5.990% 04/23/58 B/E DTD 04/23/08 CLB	2,160,000.000			2,170,800.00	USD
		46625HHA1						
02/07/19		CUSTOMER AUTHORIZED TRANSFER	UNITED TECHNOLOGIES CORP COM TRANS FRM N4G-024943	15,000.000			1,810,800.00	USD
		UTX						
02/11/19		YOUR ASSET TRANSFERRED	ALIBABA GROUP HLDG LTD SPONSORED ADR ISIN# US01609W10270188 TD AMERITRADE CLEARING, INC. A/C 867730320	-5,000.000			-837,250.00	USD
		BABA						
02/11/19		YOUR ASSET TRANSFERRED	ALPHABET INC CAP STK CL C 0'188 TD AMERITRADE CLEARING, INC. A/C 867730320	-3,000.000			-3,285,030.00	USD
		GOOG						
02/11/19		YOUR ASSET TRANSFERRED	AMAZON COM INC 0'188 TD AMERITRADE CLEARING, INC. A/C 867730320	-1,000.000			-1,591,000.00	USD
		AMZN						
02/11/19		YOUR ASSET TRANSFERRED	BIOGEN IDEC INC COM 0'188 TD AMERITRADE CLEARING, INC. A/C 867730320	-2,095.000			-663,842.65	USD
		BIIB						
02/11/19		YOUR ASSET TRANSFERRED	CARVANA CO CL A 0'188 TD AMERITRADE CLEARING, INC. A/C 867730320	-25,000.000			-799,500.00	USD
		CVNA						
02/11/19		YOUR ASSET TRANSFERRED	KARYOPHARM THERAPEUTICS INC COM 0'188 TD AMERITRADE CLEARING, INC. A/C 867730320	-25,000.000			-215,500.00	USD
		KPTI						
02/11/19		YOUR ASSET TRANSFERRED	UNITED TECHNOLOGIES CORP COM 0'188 TD AMERITRADE CLEARING, INC. A/C 867730320	-15,000.000			-1,840,800.00	USD
		UTX						
02/15/19		CUSTOMER AUTHORIZED TRANSFER	APPLE INC COM TRANS FRM N4G-026161	100,000.000			17,042,000.00	USD
		AAPL						

