

If you believe that Southern Financial is exempt – please complete and send us the Tax Declaration Form. The deadline to instruct and submit paperwork will be on or around 6/16/17.

A few key points:

1. Part I, section 7 (Contact Details): This is normally the beneficially owner.
2. Part I, section 8. Select “through a Broker”, and write in Pershing, LLC
3. Part II, Be sure this is fully completed in the proper category (individual, corporation, partnership, or trust.)
4. Part III: DO NOT COMPLETE—unless you are an Israeli Bank, Broker of Financial Institution.
5. Part IV: Beneficial owner must sign. Unclear whether Power or Attorney or Authorized Individual will be recognized
6. Part IV: Don’t forget to enter number of shares!

I will be traveling over the next two weeks, so please make sure to CC this group in case you have any questions.

Thank you,  
Vahe

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Vahe Stepanian  
Key Client Partners  
Deutsche Bank Securities Inc.



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