
From: Paul Morris [REDACTED]
Sent: 9/10/2013 5:40:48 AM
To: Tazia Smith [REDACTED]
Subject: Re: ACATS for Southern Financial/Trust [I]
Attachments: pic28223.gif; pic02615.gif; pic23460.gif; pic30992.gif; pic09892.gif; pic07050.gif; pic22114.gif; pic25067.gif

Classification: For internal use only

Ok sounds good let's talk tuesday, thanks

----- Original Message -----

From: Tazia Smith
Sent: 09/09/2013 05:49 PM EDT
To: Paul Morris
Subject: Re: ACATS for Southern Financial/Trust [I]
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We're on it Paul. You, Caroline and I need to review an accurate list of the positions that can/cannot/wouldn't come. Based on our conversation Friday, JE knows not all will be coming over (proceeds from proprietary product and OTC options will move once matured). We just want to be sure that list of positions that cannot move, is accurate. Jay should have that for is in the morning (he has to check with Pershing, ACAT, etc...various groups); some positions will be a discussion for you, Caroline and I (eg. we agreed novating the FX options wasn't realistic).

Sit down in the morning and then go back to Jeff with this info as part of our note of thanks for Friday's meeting?

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Tazia Smith
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Cc: Tazia Smith/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmericas
Date: 09/09/2013 05:09 PM
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