

M. Case Comments		
Created By	Date	Comments
Janice Franklin	9/5/2013 11:56 AM	See KYC 1082293 for Senior Business Management's approval to go forward with client adoption process.

ONSHORE APPROVALS			
Client Facing Professional (CFP):	Paul Morris	(Signature) Paul Morris	9/4/2013
Office Director/Business Head:	Brian M. Biggar	(Signature) Brian M. Biggar	9/4/2013
Regional Office Director:		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:	Janice Franklin	(Signature) Janice Franklin	9/5/2013
	Jacqueline Lightbody	(Signature) Jacqueline Lightbody	9/5/2013

LATAM/INTERNATIONAL APPROVALS			
Client Facing Professional (CFP):		(Signature)	
Account Manager:		(Signature)	
Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH):		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	