


KYC Case # : **01121946**

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

| 1. Relationship Details | | | |
|---|---|---|---|
| Relationship Name: | EPSTEIN, JEFFREY RELATIONSHIP:00000483290 | Booking Center: | New York |
| Relationship Manager: | Paul Morris | | |
| Relationship to PWM: | <input checked="" type="checkbox"/> New PWM Relationship | | <input type="checkbox"/> Existing PWM Relationship |
| | If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: | | |
| How Was the Client(s) Introduced? How long has the RM personally known the client? | <input type="checkbox"/> Client Referral | <input checked="" type="checkbox"/> RM Prospect | <input type="checkbox"/> Intermediary/FIM |
| | <input type="checkbox"/> Other Source (CIB, etc.) | | |
| Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein is a current client of the Bank. Wants to open a new account. Introduction details of Paul and Jeffrey: Jeffrey Epstein was a client of Paul's at JP Morgan. Paul and Jeffrey Epstein had a mutual friend/colleague who introduced them while Paul was at JPMorgan. Over the course of a few meetings Paul was able to get Jeffrey to bring some of his funds over to Deutsche Bank | | | |
| Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship? | <input type="checkbox"/> Yes | | <input checked="" type="checkbox"/> No |
| | <i>(If Yes, describe):</i> | | |
| List all existing and new accounts involved in this relationship | | | |
| | Legal Entity Account(s) | Account Name / Number | Opening Date (intended/actual) |
| 1 | <input checked="" type="checkbox"/> | The Haze Trust | 9/18/2013 |
| 2 | <input checked="" type="checkbox"/> | The Haze Trust | 9/18/2013 |
| Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.): | Jeffrey Epstein | | Preferred method of contact(indicate phone no., fax no., e-mail address, etc.):  |