

M. Case Comments

Created By	Date	Comments
Amanda Kirby	9/9/2013 5:13 PM	Please see KYC 01082293 for Jeffrey Epstein KYC approval and all due diligence, attachments and source of wealth

ONSHORE APPROVALS

Client Facing Professional (CFP):	Paul Morris	(Signature) Paul Morris	9/5/2013
Office Director/Business Head:	Mary Keaveney	(Signature) Mary Keaveney	9/5/2013
Regional Office Director:		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:	Jacqueline Lightbody	(Signature) Jacqueline Lightbody	9/10/2013
	Janice Franklin	(Signature) Janice Franklin	9/10/2013

LATAM/INTERNATIONAL APPROVALS

Client Facing Professional (CFP):		(Signature)	
Account Manager:		(Signature)	
Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH):		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	