

February 1, 2019 - February 28, 2019  
SOUTHERN TRUST COMPANY INC

**Portfolio Holdings (continued)**

| Description                                      | Quantity | Opening Balance | Closing Balance        | Accrued Income   | Income This Year        | 30-Day Yield |
|--------------------------------------------------|----------|-----------------|------------------------|------------------|-------------------------|--------------|
| CASH, MONEY FUNDS, AND BANK DEPOSITS (continued) |          |                 |                        |                  |                         |              |
| TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS       |          | \$427,789.90    | \$0.00                 | \$0.00           | \$3,491.39              |              |
|                                                  |          |                 |                        | Accrued Interest | Estimated Annual Income |              |
| Total Portfolio Holdings                         |          |                 | Market Value<br>\$0.00 | \$0.00           | \$3,491.39              |              |

**Portfolio Holdings Disclosures**

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE

**Estimated Annual Figures**

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

**Reinvestment**

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

**Foreign Currency Transactions**

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial

Page 3 of 8

Account Number: N4G-023812  
AD102397CSF30D18

**Go paperless**  
ASK ABOUT E-DELIVERY



Rated Excellent  
Every Year Since 2007  
DALBAR RATED COMMUNICATIONS  
EXCELLENCE

Clearing through Pershing LLC, a wholly owned  
subsidiary of The Bank of New York Mellon  
Corporation (BNY Mellon)  
Pershing LLC, member FINRA, NYSE, SIPC

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)  
CONFIDENTIAL

DB-SDNY-0084356  
SDNY\_GM\_00230540

EFTA01384263