

Transactions by Type of Activity (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
Total Securities Bought and Sold (continued)								
TOTAL USD EQUIVALENT						0.00	910,116.65	USD
U.S. DOLLARS						0.00	1,436,832.96	USD
Dividends and Interest								
12/03/18		FOREIGN BOND INTEREST	1000000 DEUTSCHE BK AG N Y BRH VAR ISIN# US251526BN89				24,375.00	USD
		251526BN8	4.875% 12/01/32 B/E DTD 12/01/17 CLB RD 11/30 PD 12/01/18					
12/17/18		CASH DIVIDEND RECEIVED	2469000 GENERAL ELEC CO GTD FXD-TO-FLTG RT				49,380.00	USD
		369604BM4	NON-CUMULATIVE PERPETUAL PREFERRED 4.000% 12/03/65					
			REG DTD 12/03/15 CLB RD 11/30 PD 12/15/18					
12/18/18		FOREIGN SECURITY DIVIDEND	10000 SHRS NINTENDO LTD ADR RD 09/28 PD 12/18/18				1,867.64	USD
		RECEIVED						
		NTDOY						
12/27/18		FOREIGN BOND INTEREST	1903000 LLOYDS BANKING GROUP PLC FXD RATE RESET ADDL				35,681.25	USD
		539439AG4	TIER 1SECS ISIN# US539439AG42 7.500% 04/27/88 B/E DTD					
			04/07/14 CLB RD 12/12 PD 12/27/18					
12/31/18		MONEY MARKET FUND	CASH AC TR DWS GOVT				13,141.91	USD
		INCOME RECEIVED						
		PER232045						
Total Dividends and Interest - U.S. DOLLARS						0.00	124,445.80	
Fees								
12/03/18		FOREIGN CUSTODY FEE	Fgn Safekeeping NOV 2018 CUSIP: F9396N-10-6				-2.00	USD
		USD999997						
12/03/18		FOREIGN CUSTODY FEE	Fgn Safekeeping NOV 2018 CUSIP: F1413L-CN-4				-2.00	USD
		USD999997						
12/18/18		FEE ON FOREIGN DIVIDEND	10000 SHRS NINTENDO LTD ADR RD 09/28 PD 12/18/18				-224.11	USD
		WITHHELD AT THE SOURCE						
		NTDOY						
Total Fees - U.S. DOLLARS						0.00	-228.11	
Taxes Withheld								
12/18/18		FOREIGN TAX WITHHELD AT	10000 SHRS NINTENDO LTD ADR RD 09/28 PD 12/18/18				-286.03	USD
		THE SOURCE						
		NTDOY						
Total Taxes Withheld - U.S. DOLLARS						0.00	-286.03	
Transactions Summary								
			Total Accrued Interest	Total Amount	Currency	Total Accrued Interest USD Equivalent	Total Amount USD Equivalent	
EURO			0.00	796,880.00	EUR	0.00	910,116.65	
U.S. DOLLARS			0.00	1,560,764.62	USD	0.00	1,560,764.62	

The price and quantity displayed may have been rounded.

