

**From:** Nathaniel Morgan [REDACTED]  
**Sent:** 5/1/2018 5:51:31 PM  
**To:** Stewart Oldfield [REDACTED]  
**CC:** Andrew King [REDACTED]; William-M Finn [REDACTED]  
**Subject:** RE: SOF IV names [1] [C]  
**Attachments:** Oldfield - Glendower AIS Info.xlsm

Classification: **Confidential**

Hi Stew,

I've attached the form for the clients in red. Since everyone appears to be in DB force, we don't need the addresses and all that jazz. Just need the standard Suitability/Asset Allocation info, as well as phone #'s and emails if you have them.

Thanks,  
Nate

Name	AIS Info on File
Aspen Grove	Yes
Bright	Yes
Dimensional	Yes
Dorrance	Yes
Epstein/Southern Financial	Yes
Everwatch	Yes
Forrestal	Yes
Georgetown	Yes
Gerschel	Yes
Highlander	Yes
Illig	Yes
Ironsides	Yes
Nicholas	Yes
Shamrock	Yes
Slome	Yes
Third Lake	Yes
Tisch	Yes
Warren	Yes
BBR	No
Ford	No
Pacific Life	No
Willett	No
Annandale	No