

SECTION D – Entities, continued – Collective Investment Scheme

Please complete the relevant section of the entity type and provide the following documents:

Apply Full Legal entity type requirements e.g. LLC, Private Company, Trust
Full Name of CIS
Registered office address
Principal place of business
Name and address of scheme promoter ¹
Name and address of scheme administrator ²
Copy of the Prospectus/Offering Memorandum
Name and address of entity carrying out anti-money laundering checks upon the scheme investors and confirmation that entity is regulated for AML purpose.

***Notes/Definitions**

1. *Scheme Promoter* – The individual or entity that helps raise capital for investment activity.

2. *Scheme Administrator* - The entity that performs the administrative tasks of the scheme. Administrative tasks could include: maintaining an investor record/log; regulatory reporting; custody of assets; maintenance of general ledger accounts; other administrative tasks.