

**From:** Stewart Oldfield [REDACTED]  
**Sent:** 9/21/2018 1:20:00 PM  
**To:** Liam Osullivan [REDACTED]  
**CC:** Bradley Gillin [REDACTED]; Richard Iarossi [REDACTED]  
**Subject:** FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

**Importance:** High

Do you recognize account code **STRUPDT0118001**?

Thanks

**From:** Vijay-A Sawant  
**Sent:** Friday, September 21, 2018 10:18 AM  
**To:** Richard Iarossi [REDACTED]  
**Cc:** Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Mayur Rathod [REDACTED]

**Subject:** RE: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]  
**Importance:** High

Hi Rich,

Following are the pending items in above accounts -

- One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as **STRUPDT0118001**. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

- "Purpose of the account" required for all the below accounts.

GCIS [REDACTED]

Em:	7780095 - SOUTHERN TRUST COMPANY INC	[REDACTED]	Southern Trust Company, Inc.	DE AD NY Protected Deposit	\$103,074.37	2/24/2017	✓	9/20/2018
Em:	N4002812 - SOUTHERN TRUST COMPANY INC	[REDACTED]	Southern Trust Company, Inc.	Brokerage Advisory	\$2,041,174.00	8/26/2013	✓	9/20/2018
Em:	4478244 - SOUTHERN TRUST COMPANY INC	[REDACTED]	Southern Trust Company, Inc.	Money Market Business Account	\$2,496,173.24	8/27/2013	✓	9/20/2018

GCIS [REDACTED]

Em:	N4002498 - JEFFREY EPSTEIN	N4002498	Jeffrey E. Epstein	Brokerage Advisory	\$609,052.00	9/23/2013	✓	9/20/2018
Em:	690518 - JEFFREY EPSTEIN	690518	Jeffrey E. Epstein	Domestic	\$115,735.98	4/10/2014	✓	9/20/2018

GCIS [REDACTED] - DB Insight is not showing the below account. We'll need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

Action	DB Account Name	Account ID	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Em:	N4002498 - J EPSTEIN VIRGIN ISLANDS	N4002498	J. Epstein Virgin Islands Foundation, Inc	Brokerage Advisory	\$298,587.00	9/30/2013	✓	9/20/2018

Regards,



Vijay Sawant

KYC Case Representative  
 Deutsche CIB Centre Private Limited - Deutsche Bank Group  
 Wealth Management