

[REDACTED]

From: Stewart Oldfield
Sent: Wednesday, September 26, 2018 1:36 AM
To: Vijay-A Sawant [REDACTED]
Cc: Bradley Gillin [REDACTED], Richard Iarossi [REDACTED]
Subject: Southern Financial

Vijay,

Have we had any feedback on the lasted KYCs you submitted for Southern Financial? Would be good to understand which ones we still need more info on. I think we are still waiting on COGS for Jeepers and Neptune. We also still need to resolve the BV70 issue with Gratitude America. All of this is with the client at this point. Is there anything else I need to be following up on?

Thanks



Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154

[REDACTED]

Securities offered through Deutsche Bank Securities Inc.