

Cc: Richard Iarossi <riarossi@db.com>; Bradley Gillin <[REDACTED]>; Vinita Advani <[REDACTED]>; Vijay-A Sawant <[REDACTED]>

Subject: Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mo [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Oct 31, 2018, at 9:13 AM, Mayur Rathod <[REDACTED]> wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.

Thanks & Regards

<image001.gif>

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management
Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India
Tel.: [REDACTED]
Email [REDACTED]

From: Vijay-A Sawant

Sent: Friday, October 26, 2018 2:37 PM

To: Stewart Oldfield <[REDACTED]>

Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>; Alka Babu

<alka.babu@db.com>; Mayur Rathod <[REDACTED]>; Vinita Advani <[REDACTED]>

Subject: RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP

Importance: High

Hi Stewart,