

From: Vaishali-P Mehta [vaishali-p.mehta@db.com]
Sent: 3/3/2017 5:34:50 PM
To: Andrew Gallivan [andrew.gallivan@db.com]
CC: Terrence F Tangney [terrence.f.tangney@db.com]; Terri Sohrab [terri.sohrab@db.com]; Michael S Jacoby [michael.s.jacoby@db.com]; Stewart Oldfield [stewart.oldfield@db.com]; Thomas-B Mayer [thomas-b.mayer@db.com]; Trevor Spinney [trevor.spinney@db.com]; Brian P Convey [brian.p.convey@db.com]; Joanne Jensen [joanne.jensen@db.com]; Mary A Coleman [mary.a.coleman@db.com]
Subject: MD approval for ACUs completed for high risk clients [I]

Classification: **For internal use only**

Dear Andrew,

Below are the ACUs which were completed for High Risk customers this month.

All the below ACUs were completed showing no material changes to the client's circumstances.

Please review the ACU comments, and reply back with your approval.

Annual Client Update: ID	GCIS Customer Number	Customer Name	MD	Annual Client Update: Created Date	A14.	A15. Client Status Update Description	A16.	A17.	Customer Owner
82109	00000481084	Batmasian, James H.	Andrew Gallivan	2/7/2017	No changes to report.	No changes to report. Purpose of the call was for new banker and credit specialist to get background on the relationship.	Yes	No	Rachel Maglicic
81987	00000409100	Black, Leon D.	Andrew Gallivan	2/3/2017	client is CEO of Apollo Global management. Family office established in 2014 to manage family wealth.	Distributions from APO and other diversified investments.	Yes	No	Stewart Oldfield
81990	00000486427	J. Epstein Virgin Islands Foundation, Inc	Andrew Gallivan	2/3/2017	Private foundation	investment income	Yes	No	Stewart Oldfield
81991	00000486423	Jeepers, Inc	Andrew Gallivan	2/3/2017	Jeepers is sub S managing various investments	investment activities, advisory services	Yes	No	Stewart Oldfield
81989	00000487266	Mort, Inc	Andrew Gallivan	2/3/2017	Mort is entity holding shares of private company Jawbone	Holds private investment	No	No	Stewart Oldfield
81994	00000486428	The Haze Trust	Andrew Gallivan	2/3/2017	Investment vehicle	No change	Yes	No	Stewart Oldfield