

As of April 2, 2018

| Customer Risk Type | Total # Customers in Scope | # Approved | # KYCs Pending Approval |    |                    |                    | # Not Started | # with Banker |          | % Submitted by Banker <sup>(1)</sup> | % Approved |
|--------------------|----------------------------|------------|-------------------------|----|--------------------|--------------------|---------------|---------------|----------|--------------------------------------|------------|
|                    |                            |            | Primary Officer         | MD | ABR <sup>(1)</sup> | AFC <sup>(2)</sup> |               | In Progress   | Rejected |                                      |            |
| High               | 448*                       | 72         | 2                       | 0  | 12                 | 112                | 196           | 22            | 32       | 51%                                  | 16%        |